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# Self-assembly of ellipsoidal particles at fluid-fluid interfaces with an empirical pair potential

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## Abstract

Colloidal particles adsorbed at fluid-fluid interfaces interact via mechanisms that can be specific to the presence of interfaces, for instance, lateral capillary interactions induced by nonspherical particles. Capillary interactions are highly relevant for self-assembly and the formation of surface microstructures, however, these are very challenging to model due to the multibody nature of capillary interactions. This work pursues a direct comparison between our computational modelling approach and experimental results on surface microstructures formed by ellipsoidal particles. We begin by investigating the accuracy of using pairwise interactions to describe the multibody capillary interaction by contrasting exact two- and three-particle interaction energies and we find that the pairwise approximation appears reasonable for the experimentally relevant configurations studied. We then develop an empirical pair potential and use it in Monte-Carlo type simulations to efficiently model the structure formation process for relevant particle properties such as aspect ratio, contact angle and surface cover-

age, and succeed in reproducing our experimental observations where we spread sterically-stabilised ellipsoidal particles onto an oil-air interface at high surface coverage. At lower surface coverages, we find that the self-assembly process falls into the diffusion-limited colloid aggregation universality class.

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## 1. Introduction

Control and understanding of how particles at a fluid-fluid interface interact and self-assemble is a key topic in both fundamental and applied soft matter research.[1] In particular, adsorbed particles can stabilise foams and emulsions  
5 against phase separation [2, 3, 4, 5], therefore it must be of no surprise that they are of crucial interest for numerous industries.

The particle-stabilising effect is generally attributed to a kinetic barrier (pictured as an ‘armored’ bubble or droplet) formed by the adsorbed particles, thereby preventing coalescence of droplets [6], although for very specific sys-  
10 tems, a thermodynamically stable Pickering emulsion has been achieved [7]. However, it now seems that a sufficient condition for droplet stabilisation is a yield stress interface, which can even be achieved with sub-monolayer coverage [8, 9, 10]. Here the surface structure must play an important role in determining the mechanical behaviour of the interface.

Colloidal forces can be strongly modified when particles are present at the interface between two different fluids, as is well known for the electrostatic forces [11, 12, 13, 14], but there are also colloidal interactions which are specific to the nature of the interface. Lateral capillary interactions arise because of deformations of the interface by the presence of particles [15, 16] and physical origins of these deformations include flotation forces, particle shape, surface chemistry, surface roughness and electric charge. Flotation forces are relevant for larger particles, which can reduce their gravitational potential energy by coming together, leading to an effective attractive force —this is also the origin

of the so-called ‘cheerios effect’, where floating objects (like the aforementioned breakfast cereal) are observed to aggregate [17]. This effect is, however, expected to be negligible for colloidal-sized particles [18], where wetting behaviour plays a more important role. The effect of wetting can be boiled down to a contact angle  $\theta$ , determined by the Young-Dupré equation

$$\gamma_1 - \gamma_2 - \gamma \cos \theta = 0 \quad (1)$$

15 where  $\gamma_1$  is the surface energy between particle and fluid 1,  $\gamma_2$  is the surface energy between particle and fluid 2, and  $\gamma$  is the surface tension between the two fluids. Since the interface must contact the colloid at the contact angle, its profile is deformed. This effect was used to help explain the shape of aggregates formed by mosquito eggs at the air-water interface [19] and more recently the  
20 self-assembly of cubes at an interface [20]. Surface roughness on a particle can lead to an undulating contact line, which distorts the meniscus [21] and has been used as a simple and robust way to impart emulsion stability [22, 23]. We note that the interface can also be deformed by the presence of charges on the particles if one of the bulk phases contains electrolytes, such as for  
25 a water-air or water-oil system [6, 24, 25, 26]. Here the physical mechanism underlying the interface deformation is related to the gathering of counterions on the electrolyte side to screen the particle charge, which results in a pressure field acting on the particle and interface, causing the interface to become deformed (an electro-dipping force). The screening effect leads to a leading order dipole-  
30 dipole electrostatic repulsion at large separations [12, 27, 28].

Since structure formation is a direct result of particle-particle interactions, there is great interest in establishing interaction potentials between two adsorbed particles. The capillary interaction has been inferred experimentally by observing the motion of particles as they come together by Loudet et al.[29]  
35 and measured directly using optical tweezers by Park et al.[30]. The theory of capillary interactions between two particles is well developed and consists of either solving an energy minimisation problem where the energy of the interface and particles is considered and generally consists of solving the Young-Laplace

equation with non-trivial boundary conditions on the particles due to the con-  
 40 tact angle [15, 24, 31], or by a ‘force approach’ based on mechanical equilibrium  
 of the system [32].

However, analytic results for the meniscus deformation due to specific parti-  
 cle geometries are only generally available following simplifications (small gradi-  
 ents, small aspect ratio) [33] and in asymptotic limits (large separation distance)  
 45 [21, 34]. The interaction energy between particles was calculated numerically  
 for various particle geometries and contact angles in Refs. [35, 36, 37]. Menis-  
 cus deformations of a single particle due to gravity, electric charge, wettability  
 and shape, as well as interactions between particles, have all been studied both  
 experimentally and theoretically [15, 21, 29, 24, 38, 33, 32]. The theory behind  
 50 capillary interactions is well understood, with the main difficulty being in how  
 to efficiently model large-scale structure formation.

We are interested in the effect of particle geometry (aspect ratio) and wet-  
 ting behaviour (contact angle) on capillary interactions, which are expected to  
 be an important factor in structure formation on fluid interfaces. We consider  
 hard prolate ellipsoids with contact angle  $\theta$ . This leads to the interface becom-  
 ing deformed, as it must satisfy the contact angle boundary conditions on the  
 particles while minimising its free energy. Interestingly, the interaction between  
 ellipsoidal particle varies from attractive to repulsive depending on their relative  
 orientation and is quadrupolar to leading order [33]

$$U_{\text{quad}} = -3\pi\gamma(\Delta u_{\text{max}})^2 \left(\frac{r_{ij}}{R}\right)^{-4} \cos(2\phi_i + 2\phi_j), \quad (2)$$

where  $\Delta u_{\text{max}}$  is the maximum difference in meniscus height on the particle,  $r_{ij}$   
 is the center-to-center separation distance,  $R$  is the particle radius and  $\phi_i$ ,  $\phi_j$   
 are the orientations of particles  $i$  and  $j$  respectively.

55 Given the severe unreliability of the quadrupole approximation for small sep-  
 arations and the prohibitive computational cost of solving the Young-Laplace  
 equation numerically for many particles in order to simulate the structure forma-  
 tion process, we prefer a different approach. Our approach consists of developing  
 an empirical pair potential for ellipsoidal particles, fitting it to exact numeri-

cal calculations of ellipsoid pairs at different separation distances and relative orientations, which goes beyond the rather inaccurate but typically assumed quadrupolar pair potential [33] by taking into account the ellipsoidal geometry. Our pair potential is then used in sophisticated Virtual Move Monte-Carlo (VMMC) simulations to simulate structure and aggregate formation with an approximation of realistic dynamics [39, 40, 41], which we expect to more accurately treat capillary interactions than the Lattice-Boltzmann simulations of particle-stabilised emulsions of Harting et al. [42, 43, 44].

The validity of using pair potentials to describe multibody capillary interactions depends on the validity of the superposition or Nicolson approximation [45], where the interface profile is assumed to be the sum of interface profiles originating from individual particles. This is expected to be accurate for small deformations of the interface and has been used extensively in theoretical efforts to describe the interaction between two adsorbed particles, for instance, in Refs. [21, 33, 34, 46]. For floating sub-millimeter spherical particles, the force between two particles calculated using the superposition approximation is accurate to within a few percent compared to the exact solution [47], although for when the interface deformation is due to electrocapillarity, the validity depends on whether the whole system is in mechanical isolation [48].

Since nearly all previous work is focused on two particle interactions (with the notable exceptions of Ref. [34], which found some multibody effects for 3 and 4 spherical particles and Ref. [19], which looked more at the aggregation of several ellipsoids), we investigate the use of pair potentials to describe multibody capillary interactions by considering exact numerical results for two and three particles in various configurations in order to validate the use of pair potentials in our VMMC simulations. Our results for the three-particle interaction energies build upon the results in Ref. [19] and help to establish the energetically favoured configurations.

This paper is organised in the following manner: Section 2 deals with the validity of using pair potentials to describe the formally multibody capillary interaction, Section 3 introduces our empirical pair potential, we present results

concerning self-assembly and structure formation in Section 4 followed by a comparison with experimental results.

## 2. Validity of the pairwise approximation

The capillary interaction arises due to the interaction between meniscus deformations of multiple particles. The free energy  $\Delta F$  of a system of  $N$  particles adsorbed on a fluid-fluid interface consists of the sum of the surface energy between two phases 1 and 2 and the wetting energies between the phases and the particles

$$\Delta F = \gamma \Delta A_{\text{men}} + \sum_{i=1}^N (\gamma_1 \Delta A_{1,i} + \gamma_2 \Delta A_{2,i}), \quad (3)$$

where  $\Delta A_{\text{men}}$  denotes the change in meniscus surface area,  $\Delta A_{1,i}$  and  $\Delta A_{2,i}$  denote the change in contact area between the particle  $i$  and phase 1 and 2 respectively, and we have assumed  $N$  identical particles on the interface. How this free energy behaves when the particle positions and orientations are varied gives rise to effective multibody interparticle interactions. Note that the pairwise approximation is equivalent to the superposition approximation in that the contact line on each particle is unaffected by the meniscus distortion of the other particles. This approximation is violated at very close separations but we minimise this effect by using an empirical pair potential fitted to highly accurate numerical data.

We consider how accurately pair interaction potentials reflect the exact three-particle interaction energy for various three-particle configurations and a range of parameters (contact angle  $\theta$ , aspect ratio  $k$ ). The prolate ellipsoid is defined by a semi-major axis length  $a$  and a semi-minor axis length  $b$  so that the aspect ratio  $k = a/b > 1$ .

### 2.1. Methodology — Surface Evolver

To obtain the exact two- and three-particle interaction energies numerically, we use the Surface Evolver (version 2.70a) [49]. The meniscus is first discretised



into Lagrange elements while the contact angle boundary condition on the solid particles is modelled by a constraint energy integral along the contact line that accounts for the wetting energy, namely the contact angle and wetted area.

115 The particle's positions and orientations are fixed. The software uses gradient descent and nonlinear Hessian steps to optimise the position of the contact line and the meniscus profile in order to minimise the free energy Eq. (3). We use adaptive meshing, with a finer mesh closer to the particles. We begin the minimisation procedure on a mesh comprised of linear Lagrange elements, and  
 120 finish it on a fine mesh of second order Lagrange elements, allowing very precise determination of the meniscus profile and total energy of the system. We impose a far-field (at a radius of  $70a$  away from the center of mass of the particles) flat interface boundary condition, although we do allow the height of the interface at the boundary to vary. Note that the particles are fixed in a given orientation  
 125 and we do not study tilting out of the interface. This is equivalent to allowing the particle height to vary and find its optimum position with respect to the interface. Reference energies were determined by placing the particles far apart, typically  $r_{ij} \approx 40a$ . Our two-particle interaction energies are in accordance with the results reported by Dasgupta et al. [37] and Botto et al. [36].

## 130 2.2. Results and discussion

We begin by accurately determining the interaction potentials for a variety of two-particle configurations. We then simulate a selection of three-particle configurations and compare the exact three-particle interaction energies with the sum of two-particle interaction energies. The two-particle and three-particle  
 135 configurations are shown in Fig. 1. In addition, the side-side-side (SSS) and tip-tip-tip (TTT) configurations were simulated for different interparticle separation distances. These configurations were chosen due to their relevance in experimental work, for example see Fig. 8 in the review article by Botto et

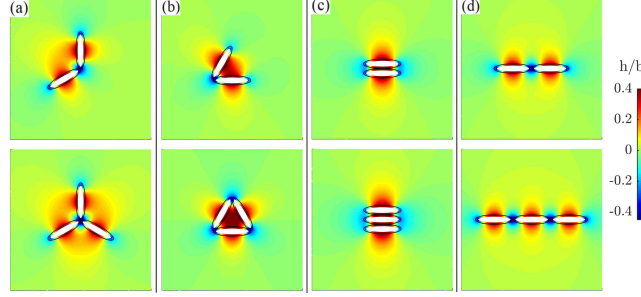


Figure 1: The meniscus deformation due to ellipsoidal particles with  $k = 4$ ,  $\theta = 40^\circ$  in various configurations. Black outlines show the touching particles, white shows the removed surface area of the fluid interface. Two- and three-particle configurations (a) flower, (b) triangle, (c) SSS and (d) TTT.

al.[35]<sup>1</sup>

We characterise the error in assuming pairwise interactions by a percentage relative error, defined as  $100 \times (E_3 - \sum_i \sum_{j=i+1}^3 E_{ij}) / E_3$  where  $E_3$  is the three-particle multibody interaction energy and  $E_{ij}$  is the pairwise interaction energy between particles  $i$  and  $j$ . Each of these energies is determined by numerical simulations to a very high level of precision. The SSS and TTT configurations are characterised by two distances  $d_1$  and  $d_2$ , where  $d_1$  denotes the distance between the furthestmost particles and  $d_2$  is the distance between the first and middle particle.

The exact three-particle interaction energies are compared with the sum of pairwise interactions for the SSS and TTT configurations in Fig. 2a and for the flower and triangle configurations in Fig. 3a. The trends in the three-particle interaction energies are clearly reproduced by the sum of pairwise interactions and, in terms of the absolute values, the two do not differ significantly. The relative error for the four different three-particle configurations are presented

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<sup>1</sup>In addition to these stable and metastable configurations, we also studied a selection of unstable three-particle configurations, namely STS, TST, SST and TTS. Those results are not presented here but the approximation of using pairwise energies to describe the full multibody interaction energy also appears reasonable.

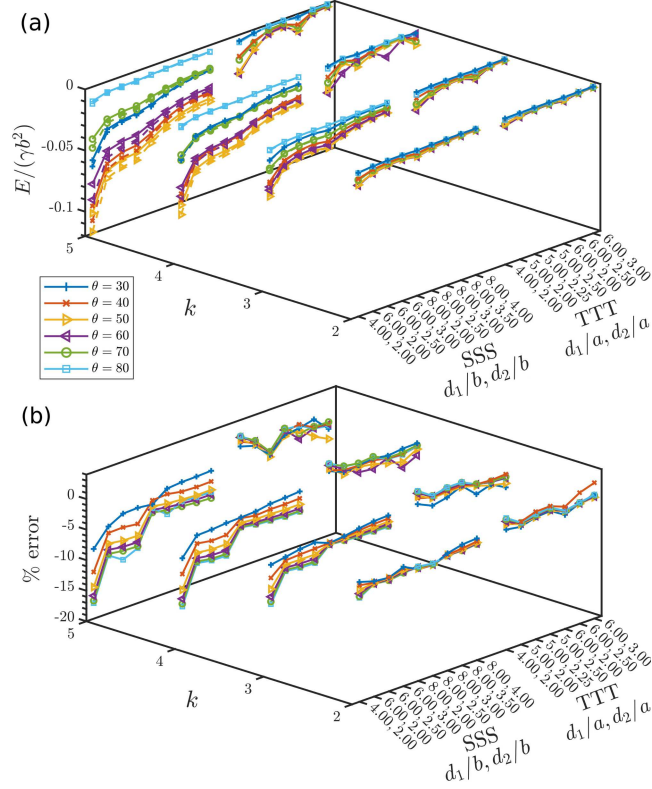


Figure 2: (a) Comparison between the exact three-particle interaction energies (solid lines) and the sum of pairwise interaction energies (dashed lines) for various aspect ratios  $k$ , contact angles  $\theta$  and separation distances  $d_1, d_2$  for particles in the SSS and TTT configurations. (b) Percentage relative error (see main text) of the pairwise approximation for the same  $k, \theta, d_1, d_2$  as in the upper panel.

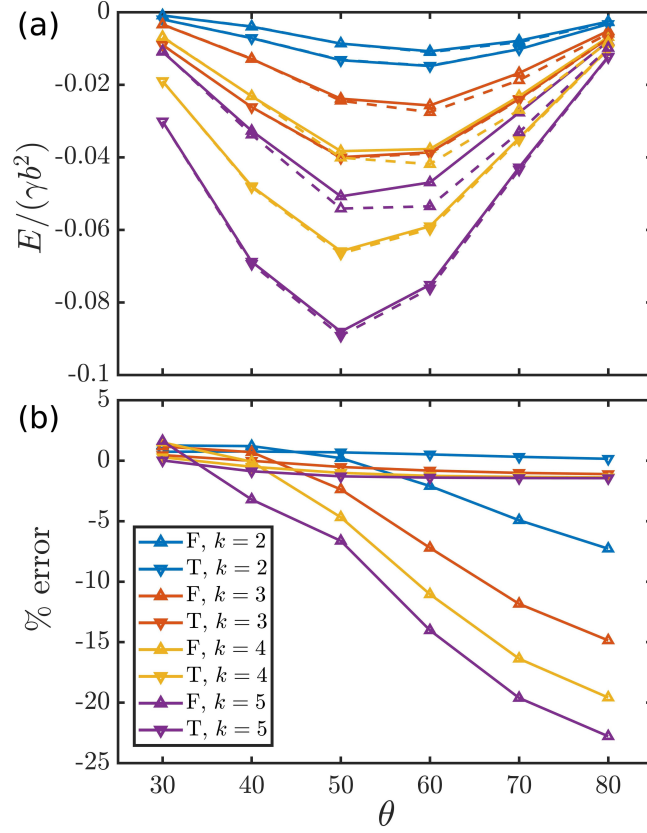


Figure 3: (a) Comparison between the exact three-particle interaction energies (solid lines) and the sum of pairwise interaction energies (dashed lines) for various aspect ratios  $k$  and contact angles  $\theta$  for particles in the flower (F) and triangle (T) configurations. (b) Percentage relative error (see main text) of the pairwise approximation for the same  $k$  and  $\theta$  as in the upper panel.

in Figs. 2b and 3b. As expected, we find that multibody effects are less pronounced for ellipsoids of smaller eccentricities and when the particles are further apart. In particular, the percentage error in the TTT arrangement seems to be consistently very low for the range of contact angles and aspect ratios we studied, due to the relatively larger center-center separation distances in such an arrangement. The triangle configuration also has very low errors, because most of the interaction energy originates from the meniscus deformation at the tips of the triangle, which is mostly an interaction between only two particles, as can be seen in Fig. 1b. To summarise, we observe that the pairwise approximation is most accurate when the particles are further apart, have smaller aspect ratio, or have contact angles away from  $\sim 50^\circ$ , in other words, when the meniscus deformations are small. While we admit that our study is far from exhaustive, it does provide evidence that the pairwise approximation is reasonable for the most important and frequently encountered configurations in experiments.

Looking at the three-particle interactions also allows us to identify the most stable configurations —these correspond to configurations with the lowest energy. We see that across all aspect ratios and contact angles, the energetically most stable configuration is the SSS, closely followed by the triangle. Note that the relative stabilities of each configuration are interaction-dependent. If the ellipsoids were charged we would expect the combined effect of shape-induced capillary interactions and electrostatic repulsion to favour a triangular configuration, which is indeed seen in experiments on charged particles [29, 50].

### 3. Empirical capillary pair potential

In this Section, we propose a simple empirical pair potential that takes into account the ellipsoidal geometry of our particles, namely by using a scaled distance that is based on the distance of closest approach  $d_{\text{ecf}}(\phi_i, \phi_j)$  between two hard ellipsoids (also known as the ellipsoidal contact function). This distance was calculated analytically in Ref. [51] and was used in the development of a ‘stretched’ ellipsoid-shaped Lennard-Jones potential in Ref. [52]. A power law

fit  $\sim 1/r_{ij}^m$  for the capillary interaction energy of ellipsoids with aspect ratio  $k = 2$  and  $3$  was attempted in Ref. [37], however, they fitted each configuration (SS, ST, TT) separately and did not investigate a functional form for the angular dependence of the exponent  $m$ , nor the prefactor.

Our pair potential  $U_{ij}$  contains only four fitting parameters and reads

$$\frac{U_{ij}}{\gamma b^2} = -A_1 \cos(2\phi_i + 2\phi_j) \left( \frac{b}{r_{ij} - A_2 d_{\text{ecf}}(\phi_i, \phi_j) + A_3} \right)^{A_4}, \quad (4)$$

where  $A_l$  with  $l = 1, \dots, 4$  are the fitting parameters and  $r_{ij}$  is the interparticle separation. We can interpret  $A_2$  as a anisotropy parameter, which characterises the eccentricity of the ellipsoid and  $A_3$  as an effective particle size. The cosine term reflects the quadrupolar symmetry and ensures that SS and TT interactions are attractive, while the ST interaction is repulsive. Note that the fitting parameters depend on both aspect ratio and contact angle.

The fitting parameters are determined by a least squares fit to the exact two-particle interaction energies in the SS, TT and ST configurations, obtained by Surface Evolver simulations. In line with the physical interpretation of the fitting parameters, we find that  $0 < A_2 < 1$  and that  $a < A_3 < 2a$ . We find  $A_4$  to be roughly around the far-field value of 4. The angular accuracy of Eq. (4) is determined by comparison to exact interaction energies in configurations where  $r_{ij}$  is fixed and one particle is rotated through  $\pi/2$ . We begin the rotation from particles initially in the SS and TT configurations. We fit Eq. (4) to data with  $k = 2, \dots, 5$  and  $\theta = 30^\circ, \dots, 80^\circ$ . We present a selection of fits for  $k = 2, \theta = 80$  and  $k = 5, \theta = 30$  in Fig. 4. We summarise the values of the fitting parameters in Table 1.

Looking at the top row of Fig. 4, we see that Eq. (4) lacks the higher order multipoles [21, 33] needed to accurately describe the angular dependence when the ellipsoids are very close together, however, its simplicity and superiority when compared to the quadrupole approximation (2) is clear. In particular, the quadrupole approximation does not distinguish between two particles approaching SS and TT, unlike our empirical pair potential, which can be seen in the left panels of Fig. 4. Furthermore, the ratio between SS and TT bond energies

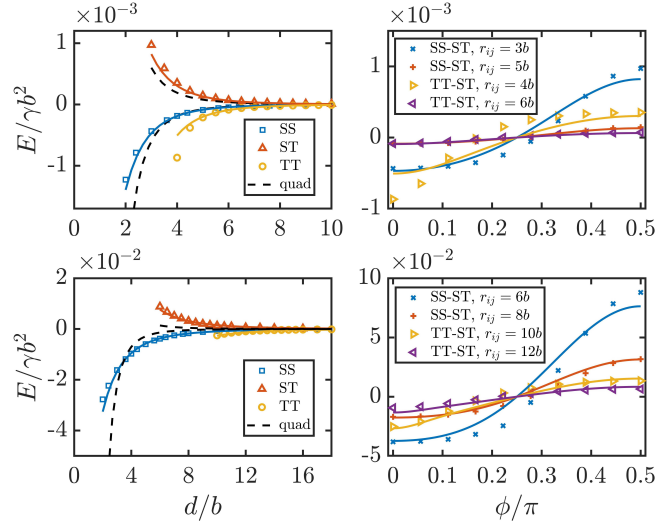


Figure 4: Example fits (solid lines) of our empirical pair potential (4) to the exact SE energies (symbols). We fit according to the SS, ST, TT configurations at different separation distances and a rotation from SS or TT to ST at a fixed separation distance. Upper panels:  $k = 2$ ,  $\theta = 80$ . Lower panels:  $k = 5$ ,  $\theta = 30$ . We also show the best fit for the quadrupole approximation (dashed black lines), note that the SS and TT energies are not distinguished by the quadrupole approximation.

$E_{SS}/E_{TT}$  is considerably more accurate for our empirical pair potential and we can therefore hope to better model the experimental structures formed by capillary interactions. For  $k = 2$ ,  $\theta = 50^\circ$ , the exact Surface Evolver data gives  $E_{SS}/E_{TT} \approx 3.36$  our empirical pair potential gives  $E_{SS}/E_{TT} \approx 2.54$ , while the quadrupole approximation is off by an order of magnitude  $E_{SS}/E_{TT} \approx 16.1$ . For higher aspect ratios the quadrupole is incorrect by multiple orders of magnitude, which we expect to have a detrimental effect when modelling the self-assembly process.

#### 4. Self-assembly and structure formation

We use VMMC simulations to study the self-assembly of ellipsoids interacting via shape-induced capillary interactions using our pair potential in Eq. (4). The VMMC algorithm selects clusters recursively based on differences of interac-

tion energies, and moves the clusters also according to differences of interaction energies, thereby allowing internal rearrangements within a single cluster. A  
225 detailed description of the VMMC algorithm can be found in Refs. [39, 40, 41].

Since the SS and TT bonds between particles in contact are very strong, aggregation is essentially an irreversible process and breaks ergodicity. For this reason we begin with many independent random initial configurations and average over their time evolutions, to imitate experimental procedures where the  
230 particles are initially spread onto an interface and then allowed to self-assemble [50]. This procedure is known in the literature as a ‘rapid’ or ‘instantaneous’ quench [53, 54, 55].

The aggregation process in dilute colloidal systems is well-studied [56], with two limiting regimes identified: diffusion-limited colloidal aggregation (DLCA),  
235 which occurs when the attractive interaction between particles is strong and aggregation is limited by the time taken for clusters to diffuse and encounter each other and reaction-limited colloidal aggregation, which occurs when there is an activation barrier slowing down aggregation. Both cases result in typical fractal cluster structures [57, 58] and scaling laws for the cluster size distribution  
240 [59, 60]. There is strong evidence for universality in dilute systems, indicating that the details of the aggregation process are unimportant [61]. We note that the models used to study aggregation are lattice-based [62] or have generally only considered ‘sticky’ particles [61], which form an infinitely strong bond upon contact so that relaxation of the particles comprising the cluster is not possible.  
245 We expect our capillary dominated system to be more in the DLCA regime, despite the repulsion in certain configurations, because the SS and TT bonds are strong, estimated as  $\sim 10^3 k_B T$ .

We investigate the effect of aspect ratio  $k$ , contact angle  $\theta$  and surface coverage  $\eta$  on the surface structure formed by capillary interactions. The structures  
250 of self-assembled ellipsoids are characterised using the radial pair correlation function  $g(r)$  and the angular correlation function  $g_2(r) = \langle \cos 2\phi_{ij} \rangle_{r \pm dr}$ , where  $\phi_{ij}$  is the angle between particles  $i$  and  $j$ , and their interparticle separation is  $(r - dr) \leq r_{ij} < (r + dr)$ . For the more dilute surface coverages, we also analyse



the cluster size distribution  $n_c(c_s)$ , where  $c_s$  is the number of particles that belong to a cluster (the cluster size) and the number of SS and TT bonds. Whether  
255 a particle belongs to a cluster is determined by a geometric criterion, namely if the closest separation distance between two ellipsoids  $r_{ij} \leq (d_{\text{ecf}}(\phi_i, \phi_j) + dr)$ , where we set the small distance  $dr = b/5$ . An SS bond also uses the above geometric criterion, in addition to the angular criterion  $|\mathbf{u}_i \cdot \mathbf{u}_j| > 0.8$ , where  $\mathbf{u}_i$   
260 is the orientation vector of particle  $i$ . For a TT bond, the angular criteria read  $|\hat{\mathbf{r}}_{ij} \cdot \mathbf{u}_i| > 0.8$  and  $|\hat{\mathbf{r}}_{ij} \cdot \mathbf{u}_j| > 0.8$ , where  $\hat{\mathbf{r}}_{ij}$  is the unit vector connecting particle  $i$  to particle  $j$ . The particle parameters we studied are  $k = 2-5$ ,  $\theta = 30-80$  and  $\eta = 0.2-0.6$ .

#### 4.1. Simulation details

We perform VMMC simulations in a periodic square box with  $N = 512$  particles. The fitting parameter  $A_1$  is chosen for computational efficiency such that the TT bond energy is  $E_{\text{TT}} \approx 20k_{\text{B}}T$ , which is sufficiently strong that thermal fluctuations are highly unlikely to break the bond. Since the SS capillary bond energy  $E_{\text{SS}}$  always seems to be greater than  $E_{\text{TT}}$ , the SS bond  
270 once formed, is also highly unlikely to be broken by thermal noise. Note that by setting the TT bond energy, changing contact angle has the sole effect of changing the ratio between interaction energy at various relative orientations. We employ a cutoff for the capillary interaction at  $r_{\text{cut}} = 5a$ , which appears reasonable (for not too dilute conditions) given how the interaction strength  
275 decays, see Fig. 4. Our empirical potential is then rescaled so that it smoothly reaches zero at  $r_{ij} = r_{\text{cut}}$ . Random initial configurations are formed by running Metropolis MC using single-particle moves for hard ellipsoids without capillary interactions.[63] We then turn on capillary interactions for the VMMC run. Averages are taken over 20–50 independent runs, with each  $10^5$  MC sweeps taking  
280 roughly 1.5 – 2 hours on an Intel Xeon E5-2680v3 CPU, with the longer times

relating to higher densities and aspect ratios.<sup>2</sup> The length of the simulations for various surface coverages are summarised in Table 2. Higher surface coverages result in a kinetically arrested state far more quickly than low surface coverages.

285 In addition to the use of our empirical potential (4) for capillary interactions, we performed simulations using the quadrupole approximation (2) as a comparison (all simulation details are as above). The quadrupole simulations are presented in the supplementary information and turn out to produce slightly different structures than those seen for our empirical potential, which is due to  
290 the bond energy ratios  $E_{SS}/E_{TT}$  for the quadrupole approximation being multiple orders of magnitude too great when compared with the exact Surface Evolver results.

#### 4.2. Results

For dilute and moderate surface coverage  $\eta \leq 0.4$  we observe that individual  
295 particles come together very quickly to form small clusters consisting primarily of chains of SS particles. The chains then come together via their tips to form more isotropic clusters.<sup>3</sup> The aggregation process can be seen for  $k = 2$  in the top rows of Figs. 5 and for  $k = 4$  in Fig. 6.

For the denser systems in the lower rows, the particles very quickly form a  
300 spanning cluster and subsequent dynamics are very slow when measured over the simulation timescale, hence we call this a kinetically arrested state. Comparing the bottom panels of Figs. 5 and 6, we see that at a surface coverage of  $\eta = 0.7$ , the structure formed by the less anisotropic  $k = 2$  ellipsoids is locally ordered

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<sup>2</sup>Since our implementation of the VMMC algorithm was not fully optimised, one could even expect improvements in runtime.

<sup>3</sup>For the strong bonds formed by our particles, one would expect the final state to consist of a single spanning cluster. This point is not reached in our simulations with  $N = 512$  particles due to slowing-down of the aggregation process as the clusters increase in size. We did however perform simulations for smaller systems of  $N = 128$  and  $\eta = 0.2$  and we find that to arrive at the final state with one large cluster requires  $\sim 5 \times 10^7$  MC sweeps.

but *globally* disordered. This is to be contrasted with the results for  $k = 4$   
 305 ellipsoids, where we find a smectic-like structure<sup>4</sup>, with both local and global  
 order. The initial configuration in this case is already ordered (since  $k = 4$  hard  
 ellipses at a density of  $\eta = 0.7$  are in the nematic phase at equilibrium)[63]  
 so the final structure is also ordered, with the capillary interaction imposing a  
 smectic-like ordering on the nematic initial condition. On the other hand, the  
 310 initial configuration of  $k = 2$  hard ellipses at  $\eta = 0.7$  is isotropic. The capillary  
 interactions impose a local SS and TT ordering, but the particles remain globally  
 disordered due to the strong attractive capillary interactions preventing further  
 relaxation.

The kind of raft structures we observe in our simulations at low surface  
 315 coverage are clearly denser than the experimentally observed open percolating  
 structures. This is likely to be a consequence of our assumption of uncharged  
 particles—a uniformly charged ellipsoid would experience greatest electrostatic  
 repulsion in the SS configuration, and when combined with an attractive cap-  
 illary interaction in both SS and TT positions, an open structure would be  
 320 favoured. Nevertheless our snapshots of the rather elongated  $k = 4$  particles at  
 the high surface coverage  $\eta = 0.7$  do show the smectic-like structure seen for  
 the sterically-stabilised Polymethyl methacrylate (PMMA) ellipsoids of Zhang  
 et al. [64].

#### 4.2.1. Colloidal aggregation

We limit discussion here to surface coverages where a spanning cluster is not  
 formed during the simulation ( $\eta \leq 0.40$ ). Higher surface coverages result very  
 quickly in a kinetically arrested phase and are treated in more detail later. We  
 treat the number of VMMC sweeps  $N_{MC}$  as measuring the dynamic evolution  
 of the structure, while keeping in mind that there is not a simple relationship

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<sup>4</sup>We use the term smectic-like to describe the structure in the snapshot where there is ordering in two directions. Note however, that this structure is kinetically arrested and therefore *not* a true smectic liquid crystal, which can flow.

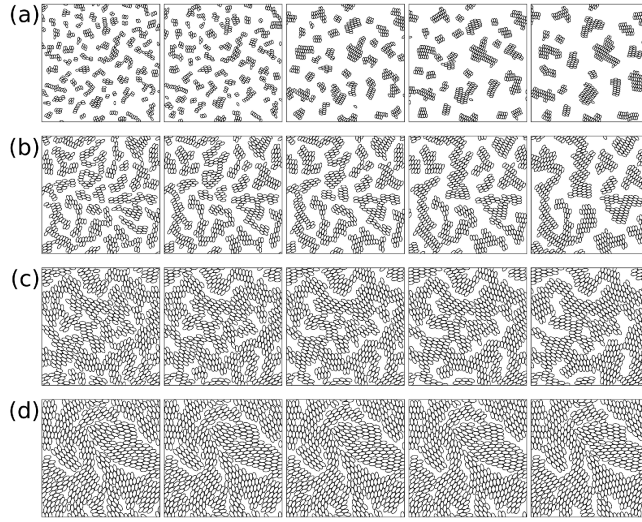


Figure 5: Snapshots of the system showing typical self-assembly and structure evolution of ellipsoidal particles with  $k = 2$ ,  $\theta = 50$  with different surface coverages. (a)  $\eta = 0.2$ , from left to right, snapshots at VMMC sweeps:  $1 \times 10^4$ ,  $1 \times 10^5$ ,  $1 \times 10^6$ ,  $2 \times 10^6$ ,  $5 \times 10^6$ . (b)  $\eta = 0.4$ , from left to right, snapshots at VMMC sweeps:  $5 \times 10^3$ ,  $1 \times 10^4$ ,  $5 \times 10^4$ ,  $2 \times 10^5$ ,  $7 \times 10^5$ . (c)  $\eta = 0.6$ , from left to right, snapshots at VMMC sweeps:  $5 \times 10^3$ ,  $1 \times 10^4$ ,  $5 \times 10^4$ ,  $1 \times 10^5$ ,  $2 \times 10^5$ . (d)  $\eta = 0.7$ , from left to right, snapshots at VMMC sweeps:  $5 \times 10^3$ ,  $1 \times 10^4$ ,  $2 \times 10^4$ ,  $5 \times 10^5$ ,  $1 \times 10^5$ .

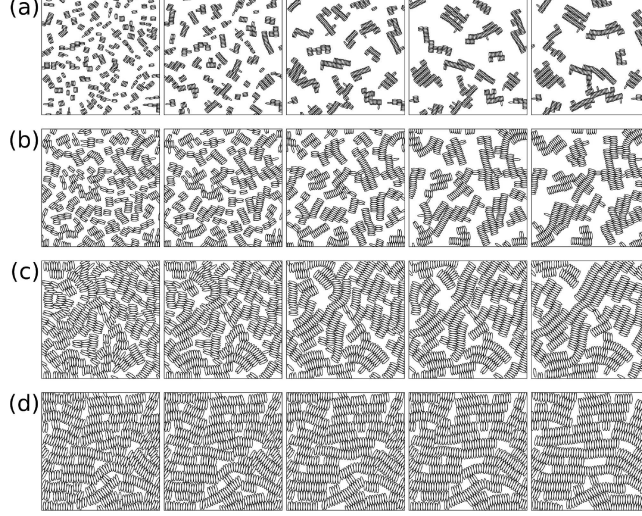


Figure 6: Snapshots of the system showing typical self-assembly and structure evolution of ellipsoidal particles with  $k = 4$ ,  $\theta = 30$  with different surface coverages. (a)  $\eta = 0.2$ . (b)  $\eta = 0.4$ . (c)  $\eta = 0.6$ . (d)  $\eta = 0.7$ . Snapshots at each surface coverage are taken after the same number of VMMC sweeps as in Fig. 5

between VMMC sweeps and real time  $t$ . In the context of cluster growth, important time varying quantities are the average cluster size  $\langle c_s(t) \rangle$  and the average number of SS and TT bonds  $\langle n_{SS}(t) \rangle$ ,  $\langle n_{TT}(t) \rangle$ , which we present for various aspect ratios, contact angles and surface coverages in Fig. 7. For DLCA, the average cluster size  $\langle c_s(t) \rangle$  is predicted to vary as  $c_s(t) \sim t^z$  with dynamic scaling exponent  $z$ , which is expected to be equal to unity in the dilute limit.[60, 59] Since we measure time in terms of VMMC sweeps, the value of the exponent  $z$  cannot be easily compared with physical time, nevertheless, the underlying power-law behaviour is still apparent and the fact that all data appear to have the same exponent  $z$  hints at universality in the aggregation process. In terms of the effect of different particle geometries, we find aggregation to occur faster for higher aspect ratios, and lower contact angles. The effect of  $k$  can be understood as the increased probability of more elongated particles to encounter each other. On the other hand, the effect of  $\theta$  is to change the SS bond energy (since we fix  $E_{TT}$ ) and since smaller contact angles have a greater ratio  $E_{SS}/E_{TT}$ , the

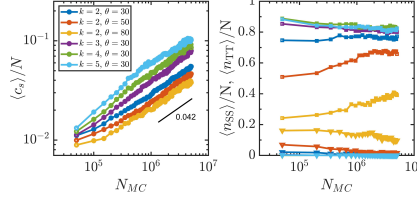


Figure 7: Left panel: Evolution of the average cluster size  $\langle c_s \rangle$  for various aspect ratios, contact angles and surface coverages in a log-log plot. Black line shows a power law with exponent 0.042. Right panel: Average number of SS bonds  $\langle n_{SS} \rangle$  (squares), and average number of TT bonds  $\langle n_{TT} \rangle$  (triangles). Colours correspond to the same parameters in the left panel.

attractive SS interaction is stronger resulting in a higher average number of SS bonds compared to TT bonds for smaller contact angles. We observe from snapshots of the simulation in Figs. 5 and 6 that smaller clusters come together to form larger clusters. This process results in the number of smaller clusters decreasing and a growth in the number of larger clusters, as can be clearly seen in the evolution of the cluster size distribution in Fig. 8 for one set of parameters. The universal behaviour of DLCA is manifested in the cluster size distribution, which can be collapsed onto a master curve using the scaling law [60, 61, 62]

$$\frac{n_s}{N} \sim \langle c_s(t) \rangle^{-2} f\left(\frac{c_s}{\langle c_s(t) \rangle}\right), \quad (5)$$

where  $f$  is a universal bell-shaped function. We show the cluster size distribution dynamics in Fig. 8 and in the inset of Fig. 8, demonstrate data collapse onto a master curve using the scaling law (5). We note that data collapse is observed across all aspect ratios and contact angles for  $\eta \leq 0.4$ , which is quite interesting given that the scaling law is in principle, only valid for dilute systems. The cluster-size dynamics we observe indicate that the aggregation of colloids by capillary interaction fall into the universality class of DLCA.

#### 4.2.2. High density

We now proceed with a more quantitative analysis of the structures encountered in our high surface coverage states beginning with the radial and angular correlation functions, which highlight the effect that anisotropy and particle ge-

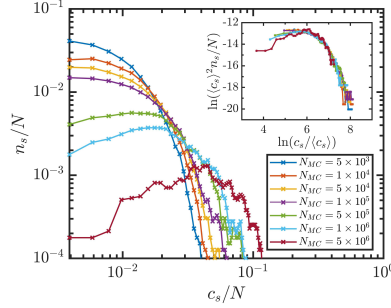


Figure 8: The cluster size distribution  $n_s(c_s)$  for  $k = 2$ ,  $\theta = 50$ ,  $\eta = 0.2$  at different times. Cluster-cluster aggregation shifts the distribution towards larger cluster sizes for later times. Inset: Scaling behaviour of the cluster size distribution showing the collapse of data in the main plot onto a master curve according to Eq. (5).

ometry have on the final structure. Fig. 9 shows pair correlation functions  $g(r)$  and  $g_2(r)$  for  $k = 2$  and  $k = 4$  particles at a surface coverage  $\eta = 0.6$ . We see the largest peak in  $g(r)$  at  $r = 2b$ . Further peaks follow at multiples of  $2b$  and  $2a$ , corresponding to the SS and TT configurations respectively. The smaller peaks  
340 of  $g(r)$  and  $g_2(r)$  just before  $r = 4b$  for  $k = 2$  and  $r = 8b$  for  $k = 4$  (indicated by arrows in Fig. 9) is due to the ellipsoids interlocking at the tips in a crystalline structure, like zipper teeth.

We see from the plots of  $g_2(r)$  that the angular correlations are strongest when  $r$  is multiples of  $2b$  and  $2a$  and also that they decay slowly, indicating  
345 long-range orientational correlations. We also see that particle geometry plays a role in the characteristics of the final structures. Namely that for higher aspect ratios, the angular correlations decay more slowly and the peaks are more pronounced. These characteristics can be explained by the fact that higher aspect ratios cause chains of SS particles to be more rigid, as is seen in the  
350 bottom rows of Figs. 5 and 6, where  $k = 2$  ellipsoids form ‘bendier’ structures when interlocked at the tips than the straight structures favoured by the more elongated  $k = 4$  ellipsoids. Indeed, the peaks corresponding to chains of  $k = 4$  SS particles are evident in Fig. 9. The additional rigidity is not evident in the dilute regime since there are fewer constraints on the particle chains so that the

$k = 2$  ellipsoid chains are free to straighten.

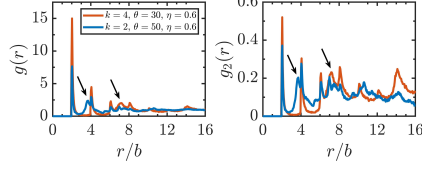


Figure 9: Typical radial and angular correlation functions for high density kinetically arrested states. Arrows indicate the separation distances where the tips are interlocked like zipper teeth.

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#### 4.3. Comparison to experiments

We compare the simulation results with experimental structure observations of sterically-stabilised PMMA ellipsoids. These were prepared according to the well-established procedure in Zhang et al. [64], by stretching 3 micron PMMA spheres stabilized by poly-12-hydroxystearic acid into ellipsoidal particles of aspect ratio  $k \approx 2$  and  $k \approx 4$ –5, respectively. Such particles are expected to effectively model hard-core interactions. The particles were labelled with small amounts of organic fluorescent dye 7-nitrobenzo-2-oxa-1,3-diazol to facilitate their observation at a fluid interface, for which we used an inverted fluorescence microscope (Nikon TI-2).

For identically prepared PMMA ellipsoids, the contact angle is a function of the aspect ratio. [65] These contact angles at the single particle level were measured using FRESKA [66] however, this method is limited to oil-water interfaces. The contact angle increased from  $103 \pm 15^\circ$  degrees for  $k \approx 2$  to  $113 \pm 7^\circ$  degrees for  $k \approx 5$ . [65] The particles are predominantly hydrophobic, and we used values for the simulations in the range of  $\theta = 30$ – $50^\circ$ . The particles were dispersed in hexane (1% by weight) and approximately 20  $\mu\text{L}$  was deposited onto a planar decalin-air interface, created using sample cells (diameter of 2 cm) which pinned the contact line of the oil. These sample cells could be closed which, combined with the high boiling point of decalin, enabled long term experiments. During spreading, Marangoni stresses lead to rather strong surface flows, after which

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the interface comes to rest. For  $k \approx 4$  a dense interface was created by spreading from a dense suspension. For the experiments with  $k \approx 2$  a more dilute initial state was used and the structure was observed to become denser over time. The  
380 structures were imaged after 48 hours.

We show experimental observations and simulated structures side by side in Fig. 10, where we see that our empirical pair potential and the pairwise approximation seem sufficient to reproduce the experimental observations. There is a clear similarity at relatively high surface coverages, from the smectic-like  
385 structure of the densely packed  $k = 4$  ellipsoids to the more disordered gel-like structure of the  $k = 2$  ellipsoids. One should, however, bear in mind that since the particles are very strongly attractive, the system is non-ergodic and hence the surface structures depend to some degree on the kinetic pathways. Whether our simulation protocol truly represents the self-assembly process remains an  
390 open question.

## 5. Conclusion

We showed that the multibody capillary interaction for three particles can be reasonably described by pairwise interaction energies and proposed a simple yet accurate empirical form for the pairwise shape-induced capillary interaction  
395 between ellipsoidal particles that is far superior to the quadrupole approximation [33]. Thanks to these first steps, we were able to efficiently model the structure formation process with hundreds of particles in a Monte-Carlo type simulations and verify our approach by making direct comparisons to our experimental results, particularly at high surface coverages where excluded volume  
400 and capillary interactions dominate. In comparison to previous literature, we can efficiently simulate hundreds of particles, rather than the handful possible in Surface Evolver simulations[35, 36, 37]. Furthermore, compared with the Lattice-Boltzmann simulations of Harting et al.[42, 43, 44], we treated the capillary interactions more accurately. Our approach clarifies the relevant param-  
405 eters for determining the resultant structure, namely particle geometry, surface

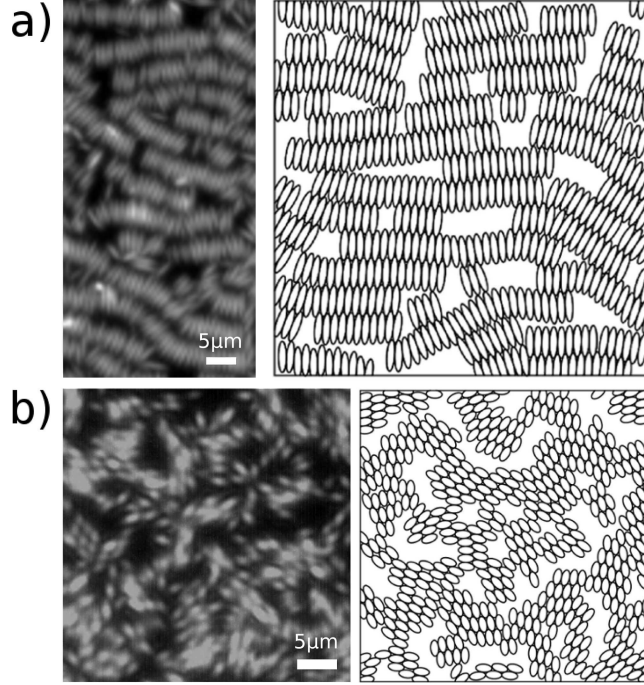


Figure 10: Side by side comparison between experimental observations of surface structure (left) and simulations (right). a)  $k \approx 4$  PMMA sterically-stabilised ellipsoidal particles at an oil-air interface after 48 hours compared with simulations of  $k = 4$ ,  $\theta = 30$ ,  $\eta = 0.7$  ellipsoids after  $2 \times 10^5$  sweeps. b)  $k \approx 2$  PMMA sterically-stabilised ellipsoidal particles at an oil-air interface 48 hours after spreading compared with simulations of  $k = 2$ ,  $\theta = 50$ ,  $\eta = 0.6$  ellipsoids after  $5 \times 10^4$  sweeps.

coverage and to a far lesser extent, contact angle.

Further work including other relevant interactions such as electrostatic interactions is needed to fully account for the whole range of structures. Having established an approximate pair potential that extends Eq. (4) to include these other relevant interactions allows a more thorough investigation into the structure formation, phase behavior and dynamical properties along the lines explored in Luo et al.[67]. In addition, we could extend our modelling procedure to allow for ellipsoids that tilt out of the interface, which has been experimentally observed by compressing a surface monolayer [68] and computationally modelled with magnetic particles in a magnetic field [69, 70].

## Conflicts of interest

There are no conflicts to declare.

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Table 1: Parameters for our empirical pair potential for a range of  $k$  and  $\theta$ , determined by fitting to precise numerical simulation data. Fit uncertainties are within approximately 10% of the stated values.

	$\theta$	$A_1$	$A_2$	$A_3$	$A_4$
$k = 2$	30	1.030	0.313	2.771	4.806
	40	1.591	0.433	2.505	4.722
	50	1.414	0.603	2.536	4.538
	60	1.112	0.715	2.662	4.418
	70	0.859	0.748	2.776	4.479
	80	1.217	0.356	2.713	4.511
$k = 3$	30	4.455	0.350	2.895	4.511
	40	4.087	0.497	2.967	4.024
	50	3.797	0.637	3.109	3.864
	60	3.215	0.733	3.247	3.859
	70	3.189	0.786	3.572	4.074
	80	2.435	0.801	4.018	4.435
$k = 4$	30	16.33	0.331	3.821	4.192
	40	16.35	0.523	3.558	3.983
	50	16.37	0.644	4.397	3.726
	60	16.37	0.726	4.671	3.786
	70	16.37	0.767	5.085	3.984
	80	16.36	0.783	5.870	4.391
$k = 5$	30	20.01	0.394	4.086	3.851
	40	19.80	0.501	4.762	3.445
	50	19.41	0.602	5.112	3.339
	60	19.32	0.668	5.534	3.383
	70	17.58	0.703	6.061	3.528
	80	17.38	0.717	7.049	3.915

Table 2: Summary of the total number of MC sweeps for different surface coverages  $\eta$ . An MC sweep is defined as  $N$  attempted moves, and each move has a 50% chance of being either translational or rotational.

$\eta$	0.2	0.3	0.4	0.5	0.6
MC sweeps	$5 \times 10^6$	$5 \times 10^6$	$7.5 \times 10^5$	$5 \times 10^5$	$2 \times 10^5$